

06 - 07 - 00

SECTOR #13

EXPRESS MAIL NO. EL026007006US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Our Case No. P99-00-E)

In The Application Of:

Bennett et al.

Serial No.: 09/422,202

Filed: October 19, 1999

Examiner: Unassigned

Group Art Unit: 2766

For: DOWN-LINE TRANSCRIPTION  
SYSTEM USING AUTOMATIC  
TRACKING AND REVENUE  
COLLECTION



CERTIFICATE OF EXPRESS  
MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, Express Mail service in an envelope addressed to Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on April 6, 2000.

By: [Signature]

Reg. No.: 36,308

RESPONSE TO NOTICE OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts mailed November 19, 1999, Applicant encloses the following:

- (1) an executed Declaration and Power of Attorney;
  - (2) an executed Verified Statement Claiming Small Entity Status;
  - (3) Part 2 copy of the Notice of Missing parts;
  - (4) a Request for a Three-Month Extension of Time;
  - (5) a check in the amount of \$880.00 (covering filing, surcharge, and extension fees);
- and
- (6) a stamped return postcard.



Dated: \_\_\_\_\_

4/6/00

**Correspondence Address:**

James Buch  
Engate Incorporated  
1302 E. Forest Avenue  
Wheaton, Illinois 60187

Respectfully submitted,

By: \_\_\_\_\_

A handwritten signature in cursive script, appearing to read "C. Winslade".

Christopher C. Winslade  
Attorney Reg. No. 36,308



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/422,202	10/19/99	BENNETT	J P99-00-E

0222/1119

JAMES BUCH  
ENGATE INCORPORATED  
1302 E FOREST AVENUE  
WHEATON IL 60187

NOT ASSIGNED

2766

DATE MAILED:

11/19/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☒ **small entity (statement filed)** ☐ **non-small entity is \$** 380.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Center

Division (703) 308-1202

FORM PTO-1233 (REV. 9/92)

04/11/2000 KZEMIE 0000009 09422202 380.00 00 65.00 00  
01 FEB 2001  
02 FEB 2001

U.S. GPO 1999 450-5875

TO BE RETURNED WITH RESPONSE